SEC Form 4

Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

| OMB Number: | 3235-0287 |
|---------------------|-----------|
| Estimated average b | ourden |
| hours per response: | 0.5 |

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* | | | | uer Name and Ticke | • | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | |
|--|---------|-------|-------------------------------|---------------------------------|----------------|--------------------------|--|--|----------------|---------------|--|--|
| <u>Haenggi Jamie Elizabeth</u> | | | Enphase Energy, Inc. [ENPH] | | | | X | Director | 10% 0 | Owner | | |
| (Last) (First) (Middle) 47281 BAYSIDE PARKWAY | | | | te of Earliest Transa 9/2021 | ction (Month/I | Day/Year) | | Officer (give title below) | Other below | (specify) | | |
| | | | | mendment, Date of | Original Filed | (Month/Day/Year) | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | | | |
| (Street) FREMONT | CA | 94538 | | | | | X | Form filed by On Form filed by Mo Person | | | | |
| (City) | (State) | (Zip) | | | | | | Person | | | | |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | |
| 1. Title of Security (Instr. 3) 2. Transa | | | | 2A. Deemed | 3. | 4. Securities Acquired (| | 5. Amount of | 6. Ownership | 7. Nature | | |

| | Date (Month/Day/Year) | Execution Date, if any (Month/Day/Year) | Transaction Code (Instr. 8) | | | (D) (Insti | . 3, 4 and | Securities Beneficially Owned Following Reported | (D) or Indirect | of Indirect Beneficial Ownership (Instr. 4) |
|--------------|--------------------------|---|-----------------------------------|---|--------|---------------|-------------------|---|-----------------|--|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (1150.4) |
| Common Stock | 05/19/2021 | | A ⁽¹⁾ | | 1,913 | Α | \$ <mark>0</mark> | 4,476 | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| | (| | | | | | | | | | | | | | |
|---|---|--|---|------------------------------|---|---|-----|---------------------|--------------------|-------|---|--|--|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | ate | Amount of | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |

Explanation of Responses:

1. Grant of Restricted Stock Units (RSUs) issued pursuant to the 2021 Equity Incentive Plan. Such RSU grant will vest in 4 equal quarterly installments from the grant date, such that the total RSUs are fully vested on the earlier of the one-year anniversary of the date of grant and Issuer's next annual meeting of stockholders, subject to the Director's Continuous Service (as defined in the 2021 Equity Incentive Plan).

Remarks:

/s/ Lisan Hung, Attorney-infact for Jamie E. Haenggi

05/21/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.