## SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	ress of Reporting P		2. Issuer Name <b>and</b> Ticker or Trading Symbol Enphase Energy, Inc. [ENPH]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
RODGERS THURMAN J		<u>J</u>	<u>Emphase Energy, mer</u> [ Enn n ]	X	Director	10% Owner					
(Last) (First) (Middle) C/O ENPHASE ENERGY, INC. 47281 BAYSIDE PARKWAY		. ,	3. Date of Earliest Transaction (Month/Day/Year) 11/02/2020		Officer (give title below)	Other (specify below)					
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)							
(Street)				X	Form filed by One Re	porting Person					
FREMONT	CA	94538	_		Form filed by More than One Reporting Person						
(City)	(State)	(Zip)									
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (		Disposed Of (D) (Instr. 3, 4 and		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial	
		(Month/Day/Year)	o) Code	v			Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (IIISU: 4)	Ownership (Instr. 4)
Common Stock	11/02/2020		A <sup>(1)</sup>		912 <sup>(2)</sup>	A	\$ <mark>0</mark>	912	D	
Common Stock								1,406,574	Ι	By Trust

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deriv Secu Acqu (A) o Dispe of (D	Derivative (Month/Day/Year) iecurities coquired A) or Disposed f (D) instr. 3, 4		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

#### Explanation of Responses:

1. Grant is made pursuant to the 2011 Equity Compensation Plan and made pursuant to an updated compensation policy for the non-employee members of the Board of Directors as approved by the Issuer's Compensation Committee, effective November 1, 2020.

2. The restricted stock units will vest as follows: 1/3 of shares granted will vest quarterly on November 15, 2020, February 15, 2021, and May 15, 2021.

### **Remarks:**

### /s/ Lisan Hung, as Attorney-

11/03/2020

in-Fact for Thurman John

Rodgers

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.