SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Instruction 1(b)		Filed	pursuant to Section 16(a) of the Securities Exchange Act of 1934							
			or Section 30(h) of the Investment Company Act of 1940							
1. Name and Addr	ess of Reporting Pers	son*	2. Issuer Name and Ticker or Trading Symbol Enphase Energy, Inc. [ENPH]		ionship of I all applicat	f Reporting Person(s) to Issuer able)				
	<u>HARD</u>			X	Director		10% Owner			
1	(First) E ENERGY, INC.	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 05/19/2021		Officer (gi below)	ve title	Other (specify below)			
47281 BAYSII	JE PARKWAY		4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indivi Line)	idual or Joii	nt/Group Filing	(Check Applicable			
(Street)				X	Form filed	by One Reporting Person				
FREMONT	CA	94538			Form filed Person	l by More than	One Reporting			
(City)	(State)	(Zip)								

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)						5. Amount of Securities Beneficially Owned Following Reported	(D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock	05/19/2021		A ⁽¹⁾		1,913	A	\$ <mark>0</mark>	2,825	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Grant of Restricted Stock Units (RSUs) issued pursuant to the 2021 Equity Incentive Plan. Such RSU grant will vest in 4 equal quarterly installments from the grant date, such that the total RSUs are fully vested on the earlier of the one-year anniversary of the date of grant and Issuer's next annual meeting of stockholders, subject to the Director's Continuous Service (as defined in the 2021 Equity Incentive Plan).

Remarks:

<u>/s/ Lisan Hung, Attorney-in-</u> <u>Fact for Richard Mora</u> <u>05/2</u>

05/21/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Reporting Person