SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

P	OMB Number:	3235-0287								
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Check this box if no longer subject to section 16. Form 4 or Form 5 bligations may continue. See nstruction 1(b).	ST

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] RODGERS THURMAN J				2. Issuer Name and Ticker or Trading Symbol <u>Enphase Energy, Inc.</u> [ENPH]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				
(Last) 575 EASTVIEV	(First) V WAY	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 05/18/2017						Officer (give title below)	Other below	(specify)		
				4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street) WOODSIDE	CA	94062							X	Form filed by One Form filed by Mor Person	1 0			
(City)	(State)	(Zip)												
		Table I - Non	-Derivative S	ecurities Acqu	uired,	Disp	oosed of, o	r Bene	ficially	Owned				
D		2. Transaction Date (Month/Day/Year)	Execution Date, Transaction Disposed Of (D) (Instr. 3,			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)						
					Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)				

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Table II -	Derivative Sec	curities Acqui	red, D	ispo	sed of, or	Benefi	cially O	wned
	(e.g., puts, cal	lls, warrants, o	option	is, co	onvertible	securi	ties)	

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Option (right to buy) ⁽¹⁾	\$0.7	05/18/2017		A		111,052		(2)	05/17/2024	Common Stock	111,052	\$0.7	111,052	D	

Explanation of Responses:

1. Issued pursuant to the 2011 Equity Incentive Plan.

2. Such option will vest in 12 equal monthly installments from the grant date, such that the option is fully vested on the one-year anniversary of the date of grant, subject to the Director's Continuous Service (as defined in the 2011 Equity Incentive Plan).

Remarks:

<u>/s/ Bert Garcia, Attorney-in-</u> <u>Fact for Thurman John Rodgers</u>

s <u>05/22/2017</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.